

## APPLICATION INFORMATION

Application Type:: Regular Utility  
Title:: METHOD AND SYSTEM FOR DIVERSIFYING RISK IN  
PRIVATELY HELD STOCK

Attorney Docket Number::  
Request for Early Publication?:: No  
Request for Non-Publication?:: No  
Total Drawing Sheets:: 7  
Small Entity?:: Yes  
Secrecy Order in Parent Appl.?:: No

## INVENTOR INFORMATION

Inventor Authority Type:: Inventor  
Primary Citizenship Country:: Canada  
Status:: Full Capacity  
Given name:: John  
Family name:: ROBERTS  
City of Residence:: Kanata  
State or Province of Residence:: Ontario  
Country of Residence:: Canada  
Street:: 151 Robson Court  
  
City:: Kanata  
State or Province:: Ontario  
Country:: Canada  
Postal or Zip Code:: K2K 2W1

## CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 28581  
Phone number:: 609-919-4400  
Fax:: 609-919-4401

## REPRESENTATIVE INFORMATION

Representative Customer Number:: 28581

## ASSIGNEE INFORMATION

Assignee name:: MEZZANINE SERVICES INC.  
Street:: 329 March Road, Unit 109  
  
City:: Kanata  
State or Province:: Ontario  
Country:: Canada  
Postal or Zip Code:: K2K 2E9